Please type a plus sign (+) inside this box → [+]

PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	*03RE135/YOD REEL:0050*	*Total Pages*	*39*
First Named Inventor or Application Identifier	*James E. Mickelson et al.*		
Express Mail Label No.	*EL 982 236 053 US*		

03917 U.S. PTO 10/671033 092503

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Mail Stop Patent Application**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification *Total Pages* **15**
(preferred arrangement set forth below)
-Descriptive
-Cross References to Related Application
-Statement Regarding Fed sponsored R & D
-Reference to Microfiche Appendix
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings *(if filed)*
-Detailed Description
-Claim(s)
-Abstract of the Disclosure
3. ☒ Drawing(s) *(35 USC 113)* *Total Sheets* **4** *Total Pages* **12**
4. Oath or Declaration
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney *(where there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Other

17 ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/_____

18. CORRESPONDENCE ADDRESS

☐ *Customer Number or Bar Code Label* *(Insert Customer No. or Attach bar code label here)* ☒ *Correspondence address below*

NAME	Alexander M. Gerasimow Allen-Bradley Company, LLC				
ADDRESS	1201 South Second Street				
CITY	Milwaukee,	*STATE*	Wisconsin	*ZIP CODE*	53204-2496
COUNTRY	USA	*TELEPHONE*	(414) 382-5885	*Fax*	(414) 382-3900

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

15866 U.S. PTO
09/25/03

PTO/SB/17 (10/96)
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FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT	($) 1,066.00

Complete if Known	
Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	James E. Mickelsen et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	03RE135/YOD (REEL:0050)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 01-0857/03RE135/YOD (REEL:0050)

Deposit Account Name: Rockwell Automation Technologies, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	750.00
106	330	206	165	Design filing fee	—
107	510	207	255	Plant filing fee	—
108	740	208	370	Reissue filing fee	—
114	160	214	80	Provisional filing fee	—
				SUBTOTAL (1)	($) 750.00

2. CLAIMS

		Extra		Fee from below		Fee Paid
Total Claims	26 -20 =	6	X	18	=	108.00
Independent Claims	5 - 3 =	2	X	84	=	168.00
Multiple Dependent Claims		—	X	—	=	—

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of of 20 and over original patent

SUBTOTAL (2) ($) 276.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	—
127	50	227	25	Surcharge - late provisional filing or cover sheet.	—
139	130	139	130	Non-English specification	—
147	2,520	147	2,520	For filing a request for reexamination	—
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	—
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	—
115	110	215	55	Extension for response within first month	—
116	400	216	200	Extension for response within second month	—
117	950	217	475	Extension for response within third month	—
118	1,570	218	755	Extension for response within fourth month	—
119	310	219	155	Notice of Appeal	—
120	310	220	155	Filing a brief in support of an appeal	—
121	270	221	135	Request for oral hearing	—
138	1,510	138	1,510	Petition to institute a public use proceeding	—
140	110	240	55	Petition to revive unavoidably abandoned application	—
141	1,320	241	660	Petition to revive unintentionally abandoned application	—
142	1,320	242	660	Utility issue fee (or reissue)	—
143	450	243	225	Design issue fee	—
144	670	244	335	Plant issue fee	—
122	130	122	130	Petitions to the Commissioner	—
123	50	123	50	Petitions related to provisional applications	—
126	240	126	240	Submission of Information Disclosure Stmt	—
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—
Other fee (specify)		—			—
Other fee (specify)		—			—
				SUBTOTAL (3)	($) 40.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY					Complete (if applicable)
Typed or Printed Name	Ralph A. Graham	Reg. Number	47,607		
Signature	Ralph A. Graham	Date	September 25, 2003	Deposit Acct. User ID	01-0857/03RE135/YOD (REEL:0050)